

KENTUCKY LEGISLATIVE ETHICS COMMISSION

HAND DELIVERED
FEB 17 2009

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

☒ Check here and attach additional sheets if necessary. 8 Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name DORSEY RIDLEY
Business address 1100 BARRET BLVD HENDERSON, KY 42420
Business telephone 270-869-0505
Home address 4030 HIDDEN CREEK DR HENDERSON, KY 42420
Title of public position, or office sought SENATE 4TH DIST.
Other occupations of filer BANKER
Occupations of spouse ELEMENTARY SCHOOL COUNSELOR

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation BANKER - INDEPENDENCE BANK
RIDLEY & CO, LLC MANAGING MEMBER
RIDLEY PROPERTIES, LLC SINGLE MEMBER

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation ELEMENTARY SCHOOL COUNSELOR
RIDLEY & CO, LLC MEMBER

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more

SEE EXHIBIT 1

Sources and form of gross income of the filer (list sources by name) _____

SEE EXHIBIT 1

Sources and form of gross income of the filer's spouse (list sources by name) _____

SEE EXHIBIT 1

Positions of a fiduciary nature in a business _____

— NONE —

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children _____

SEE EXHIBIT 1

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.) _____

— NONE —

The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes)

INDEPENDENCE BANK HENDERSON, KY

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

— NONE —

The names of any of the filer's clients who are legislative agents or employers

— NONE —

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? ☐ Yes ☒ No ☐ Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

N/A

State Agency

N/A

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

2/14/2009
Date

Filer 

Send completed statements to:

The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601

If you have questions please call us at (502) 573-2863.

ghr

EXHIBIT I

Page 1 of 4

STOCKS

ADDRESSES

Spouse Filer

STOCKS	ADDRESSES	Spouse	Filer
ATT	AT&T 32 Avenue of the Americans New York, N.Y. 10013-2412	X	X
ABBOTT LABS	2009 Abbott Laboratories. Abbott Park, Illinois, U.S.A.	X	
AMERICA MOVIL S A B D	Lago Alberto 366 Coalonia Anahuac Mexico DF CP 11320	X	
American International	70 Pine Street New York, NY, 10270.	X	
ARCHER DANIELS MIDLAND	Archer Daniels Midland 1001 Brush College Road, Decatur, Illinois 62521	X	
BLOCK H & R INC	Block H & R. 6545 De Anza Ave Riverside, CA 92506	X	
Bristol Myers Squibb	345 Park Avenue New York, New York, USA 10154-0037 212-546-4000	X	X
Coca-Cola Company	The Coca-Cola Company P.O. Box 1734 Atlanta, GA 30301	X	
Colgate Palmolive Company	Colgate 300 Park Ave. New York, New York 10022 www.colgate.com	X	
EXXON MOBIL CORP	5959 Las Colinas Boulevard Irving, TX 75039 (972) 444-1000 http://www.exxonmobil.com/	X	
General Electric Co.	3135 Easton Tpke Fairfield, CT 06432-1008	X	
Glaxosmithkline PLC	980 Great West Road, Brentford, Middlesex, TW8 9GS, United Kingdom.	X	
Intel Corp	2200 Mission College Blvd. Santa Clara, CA 95054-1549 USA (408) 765-8080	X	
J P Morgan Chase and Co	270 Park Avenue New York, NY 10017 (212) 270-6000	X	
Johnson and Johnson	One Johnson & Johnson Plaza New Brunswick, NJ 08933 (732) 524-0400 http://www.inj.com/	X	
Medco Health Solutions Inc	Franklin Lakes, New Jersey	X	
Merck and Company Inc	1 Merck Dr. Whitehouse Station, NJ 08889-0100	X	
Microsoft Corp	Microsoft Corporation One Microsoft Way Redmond, WA 98052-7329 USA	X	
Motorola Incorporated	1303 East Algonquin Road Schaumburg, IL 60196	X	
PNC Financial Services	Pittsburgh, PA United States	X	
Pfizer Inc	Pfizer, Inc., 182 Tabor Rd., Morris Plains, NJ 07950	X	
Procter and Gamble Co.	Procter and Gamble Co. Cincinnati, Ohio 45201	X	
QWEST Communications	1801 California St. Denver, CO 80202. Phone: 800-899-7780. Fax: 303-992-1724 ...	X	

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STOCKS

ADDRESSES

Rite Aid Corp	30 Hunter Land, Camp Hill, PA 17011	X	
Schering Plough Corp	2000 Galloping Hill Rd. Kenilworth, NJ 07033-0530. Phone: 908-298-4000. Fax: 908-298-7653 ...	X	
Sirius XM Radio Inc	New York City, New York	X	
Telefonos de Mexico SA	Parque Via 190 Mexico, D.F. 06599, (800) 997-8970	X	
Telmex International	Mexico City, Mexico	X	
Thomson Reuters PLC	Thomson Reuters PLC The Thomson Reuters Building South Colonnade Canary Wharf London ENG E14 5EP	X	
Tyson Foods Inc Class A	2210 W. Oaklawn Dr. Springdale, AR 72762-6999. Phone: 479-290-4000. Fax: 479-290-4061 ...	X	
Vodafone Group PLC New	Vodafone Group PLC ADR Vodafone House The Connection Newbury, Berkshire, RG14 2FN Phone: 441635 33251. Fax: Web Site: www.vodafone.com ...	X	
Williams COS INC DEL	1 Williams Center Tulsa, OK 74172	X	

Old National Bank Old National Bank Building, Evansville, Indiana

X

MUTUAL FUNDS

Spouse ghr

Capital World Growth and Income Fund Inc CL A c/o Hilliard-Lyons	X

Cash Equivalents

Hilliard-Lyons Government Fund, Inc	c/o Hilliard-Lyons, Louisville, KY	X -spouse	X-self

UNIT INVESTMENT TRUSTS

First Trust	X spouse
c/o Hilliard -Lyons, Louisville, KY	

Filer Sources and Form of Gross Income -- Self and Spouse

Rent Ridley and Co. LLC Commercial Building, Terre Haute, Indiana , self and spouse

Rent Ridley and Co. LLC Commercial Lot, 6th and Green St., Henderson, KY 42420, self and spouse

Rent Ridley Properties, LLC Commercial Bldg. 2214 US 41N, Henderson, KY 42420, self and spouse

Interest Bank Account, Independence Bank, Frederica St., Owensboro, KY, self and spouse

Sale of Real Estate, Rural Lot Henderson Co, Henderson, KY self and spouse

PPG Industries Dividends, One PPG Tower, Pittsburgh, PA self and spouse

ATT , ATT, 32 Avenue of the Americans, New York, NY 10013-2412, self and spouse

Bristol Myers Squibb, 345 Park Aven., New York, New York 10154-0037 self and spouse

Rental property Barret Two, Inc Barret Blvd. Henderson, KY spouse and self

Rental property Elmhurst Apts. S. Elm Street spouse and self

Farm/ House Rental 8815 US 60 E., Henderson, KY 42420 spouse and self

Farm/ House Rental Zion Road, Henderson, KY spouse and self

Rental property Mill Street Apts. Mill Street, Henderson, KY spouse and self

Salary Independence Bank Henderson, KY

Salary Commonwealth of Kentucky Senator 4th District

Coal oil & gas royalty Consol, Sunshine Oil & Gas; Sunshine Coal; Island Creek Coal

ALSO PAGES 1, 2 & 4 of EXHIBIT 1

SELF

Aggressive Growth Portfolio, Independence Bancshares, Inc., Independence Bank, Frederica Street, Owensboro, KY self

Dodge and Cox Intl. Independence Bancshares, Inc., Independence Bank, Frederica Street, Owensboro, KY self

Federated Mid-Cap Index , Independence Bancshares, Inc., Independence Bank, Frederica Street, Owensboro, KY self

IBT stock Liquidity Fund , Independence Bancshares, Inc., Independence Bank, Frederica Street, Owensboro, KY self

Independence Bancs, Independence Bancshares, Inc., Independence Bank, Frederica Street, Owensboro, KY self

VANGUARD INDEX 500 , Independence Bancshares, Inc., Independence Bank, Frederica Street, Owensboro, KY self

VANGUARD LIFESTRATEGY GROWHT , Independence Bancshares, Inc., Independence Bank, Frederica Street, Owensboro, KY self

VANGUARD SMALL CAP GTH INDEX , Independence Bancshares, Inc., Independence Bank, Frederica Street, Owensboro, KY self

VANGUARD WINDSOR II , Independence Bancshares, Inc., Independence Bank, Frederica Street, Owensboro, KY self

Hartford Fund, Hartford, Connecticut, self

Hilliard-Lyons Government Fund, Hilliard-Lyons, Louisville, KY self and spouse

ATT, AT&T 32 Avenue of the Americans
New York, N.Y. 10013-2412 self and spouse

Bristol Myers squibb Co.
345 Park Avenue New York, New York, USA 10154-0037
212-546-4000 self and spouse

Independence Bancshares Inc. Class A Restricted, Indep. Bank, Owensboro, KY self and spouse

Mutual Funds AMCAP Fund Inc. CL A, Hilliard Lyons, Louisville, KY self and spouse

Unit Investment Trusts First Trust Unit 1410 Target Triad July 07 Monthly rein, c/o Hilliard-Lyons, Louisville, KY self and spouse